

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

2019 OCT -1 PM 5:00

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**

☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

The Software Foundation dba Software.org: the BSA Foundation ("Software.org")

Private Sponsor(s) (list all): _____

Travel date(s): Wednesday, August 21, 2019 (day trip)

Name of accompanying family member (if any): _____

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$334.24		\$11.50	
<input checked="" type="checkbox"/> Actual Amount				


Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): Visited Massachusetts Institute of Technology's Computer Science and Artificial Intelligence Laboratory during the day of Wednesday, Aug 21 to learn about future developments in artificial intelligence and implications for privacy and cybersecurity.

9/23/19
(Date)

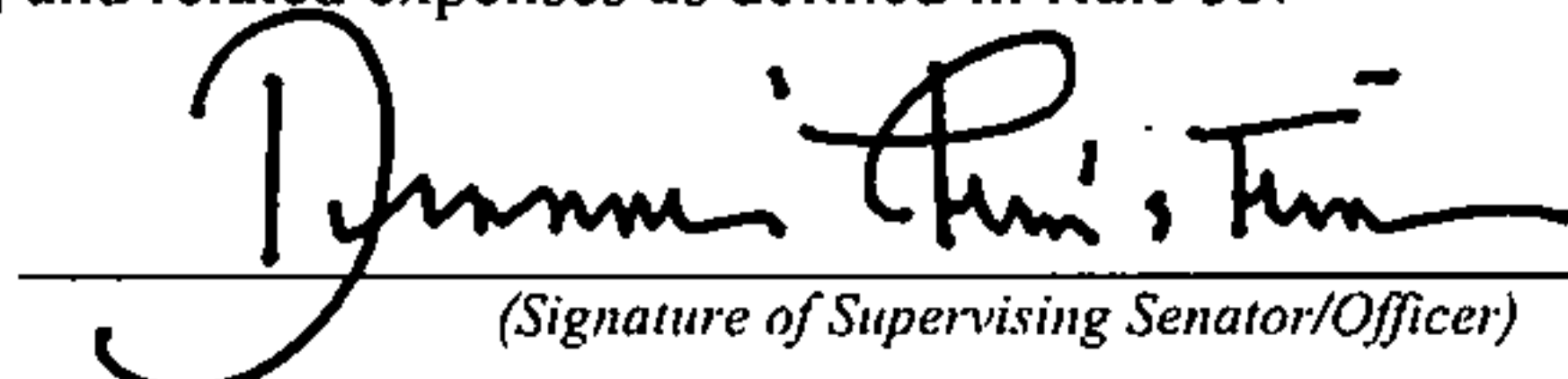
Anant Rout
(Printed name of traveler)


(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

10/1/19
(Date)


(Signature of Supervising Senator/Officer)

14-00000

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

- Private Sponsor Certification - Page 1 of 4

9. USE ONLY IF YOU CHECKED QUESTION 6(B)

I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

- OR -

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

- OR -

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. USE ONLY IF YOU CHECKED QUESTION 9(B)

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

Software.org is the sole sponsor and organizer for this trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

See Attachment 2

[illegible]

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

This is Software.org's eighth trip for Congressional staff. Software.org previously sponsored two trips for Senate staff in 2018.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Software.org educates policymakers, stakeholders, and the general public through meetings and briefings and by developing and publishing studies and papers that explain policy, new technologies, and other topics of the interest to the software industry.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$332.00 (total) ----- \$267.00 (airfare); \$35.00 (coach bus); \$30 (taxi)	None	\$18.00	None

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

B) The trip involves events that are arranged specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Software.org chose Boston due to the number of software companies with major offices located in close proximity, allowing Software.org to better showcase the industry's approach to artificial intelligence.

19. Name and location of hotel or other lodging facility:

Mr. Raut will not require lodging on this trip.

20. Reason(s) for selecting hotel or other lodging facility:

Mr. Raut will not require lodging on this trip.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses for lodging, meals, and other expenses provided to trip participants will be below the daily per diem rates for Boston.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Attendees will fly on coach airfare round trip from Washington, DC (DCA) to Boston (BOS).

In Boston, Mr. Raut will be transported on a coach class minibus. We will arrange a taxi to the airport.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:

Name and Title: Chris Hopfensepenger, Executive Director

Name of Organization: The Software Education Foundation d.b.a. Software.org: the BSA Foundation

Address: 20 F Street, NW, Suite 800, Washington, DC 20001

Telephone Number: (202) 530-5135

Fax Number:

E-mail Address: chris@software.org

[illegible]

Mike Vesellik	Policy Advisor	Senate Democratic Policy and Communications Center
Michael Waske	Policy Analyst	Senate Committee on Health, Education, Labor, and Pensions
Gwyneth Woolwine	Professional Staff Member	Senate Committee on Armed Services

Attachment 2 – Question # 13 – Sponsor's interest and role

Software.org, the BSA Foundation is an independent, and nonpartisan international 501(c)(3) research organization that helps policymakers and the broader public better understand the impact that software has on our lives, our economy, and our society. We believe that by working together to examine these issues we can better prepare for the future and help shape government policies and industry culture that will promote both technological advancement and widespread growth.

Software.org seeks to take Congressional staff on an educational artificial intelligence-focused tour of global software companies at their Boston area facilities. We have invited staff whose Senators serve on the Homeland Security, Intelligence, Armed Services, Judiciary, and Commerce Committees, or are in leadership offices in order to educate them about the software industry's approach to artificial intelligence (AI). On the trip, staff will learn about current research projects and the next steps in the development of AI technologies, ethical and public policy concerns facing lawmakers, the impact of federal partnerships with software developers in the AI space, and more. Software.org planned and organized all aspects of the trip, made lodging and travel arrangements, and corresponded with businesses involved to set up events and tours at each location. Software.org is the sole sponsor for this trip, including responsibility for covering cost of meals throughout the trip.



Senior Congressional Staff Delegation on Artificial Intelligence

Wednesday, August 21, 2019

- | | |
|--------------------|---|
| 7:30 AM | Meet group in the terminal at Ronald Reagan Washington National Airport (DCA) |
| 8:30 AM | American Airlines Flight #2169 Departs DCA to Boston Logan International Airport (BOS) |
| 10:02 AM | AA2169 Arrives at BOS. |
| 10:30 AM | Ground transportation from the airport to MIT.
<i>Estimated travel time: 15-30 minutes</i> |
| 11:00 AM – 3:30 PM | Massachusetts Institute of Technology (MIT) – Computer Science and Artificial Intelligence Laboratory (CSAIL)

At MIT CSAIL, attendees will hear directly from leading researchers and artificial intelligence experts on their latest AI-focused projects in machine learning, data analytics, and more. Participants will experience demonstrations of AI's potential to transform various industries and sectors of the economy including autonomous vehicles, computational biology, cybersecurity, and more applications. |
| 3:30 PM | Ground transportation from MIT to BOS
<i>Estimated travel time: 15-20 minutes</i> |
| 3:45 PM | Arrive at BOS, check in for flights and go through security. |
| 5:00 PM | American Airlines Flight #2149 Departs BOS to DCA |
| 6:48 PM | AA2149 Arrives at DCA. Individual transportation home. |

###

2019082115463



Staff Delegation Trip to Boston August 21–23, 2019

Software.org: the BSA Foundation is an independent and nonpartisan international research organization established to help people better understand the impact software has on our lives, our economy, and our society. Headquartered in Washington, DC, the foundation publishes studies that examine the intersection of software and society, and it engages with policymakers and the public to inform policies that can stay ahead of cutting-edge technologies. The foundation also works directly to empower the workforce of tomorrow by encouraging a diverse community of young coders and working to increase opportunities for training and skills. Follow Software.org at @BSA_Foundation.

51 00 51 00 00 00 00 00 00 00 00 00

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Date/Time Stamp:

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

ETHIC JUL31'19AM10:22

Name of Traveler: Anant Raut

Employing Office/Committee: Feinstein/Senate Judiciary Committee

Private Sponsor(s) (list all): Software Education Foundation d.b.a. Software.org; the BSA Foundation

Travel date(s): August 21, 2019

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Boston, MA

Explain how this trip is specifically connected to the traveler's official or representational duties:

I serve as Counsel to the Ranking Member for the Senate Judiciary Committee. I advise the Ranking Member and other Senate Democrat offices on issues including antitrust, data privacy, data security, and algorithmic discrimination. The application of artificial intelligence raises numerous legal concerns within the Judiciary Committee's jurisdiction. Artificial intelligence has resulted in discriminatory advertising campaigns in violation of civil rights laws related to housing and employment. Meeting with leading researchers in the field will allow staff to be forward-thinking about legislation that needs to be developed in advance of technology.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

7/30/19

(Date)

Anant Raut

(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

Senator Feinstein

Anant Raut

I, Senator Feinstein hereby authorize Anant Raut

(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

7/30/19

(Date)

Dianne Feinstein

(Signature of Supervising Senator/Officer)